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## NOTICE OF ALLOWANCE AND FEE(S) DUE

001095

7590

11/24/2006

NOVARTIS CORPORATE INTELLECTUAL PROPERTY ONE HEALTH PLAZA 104/3 EAST HANOVER, NJ 07936-1080 EXAMINER

ANDERSON, REBECCA L

ART UNIT PAPER NUMBER

1626

DATE MAILED: 11/24/2006

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/660,442	09/11/2003	Rainer Albert	31625B	4891	

TITLE OF INVENTION: INDOLYLMALEIMIDE DERIVATIVES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	02/26/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE

Commissioner for Patents
P.O. Box 1450

Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further condicated unless correcte maintenance fee notificat	correspondence including below or directed oth ions.	g the Patent, advance or erwise in Block 1, by (a	ders and notification of n ) specifying a new corres	naintenance fees w pondence address;	ill be n and/or	nailed to the current (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for
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001095	7590 11/24/	2006	nave			of Mailing or Transn	nission
NOVARTIS			I her	reby certify that thi	s Fee(s	Transmittal is being	deposited with the United
	NTELLECTUAL P	ROPERTY	State	es Postal Service w	ith suff Stop_I	SSUE FEE address	deposited with the United class mail in an envelope above, or being facsimile ite indicated below.
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EAST HANOVE	AK, 143 07930-1080						(Depositor's name)
		·					(Signature)
·			<u> </u>				
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nonprovisional	NO	\$1400	\$300	\$0	\$1700		02/26/2007
EXAM	INER	ART UNIT	CLASS-SUBCLASS				·
ANDERSON,	REBECCA L	1626	514-414000				
1. Change of corresponde	nce address or indication	n of "Fee Address" (37	2. For printing on the p	atent front page, lis	t		
CFR 1.363).	ondence address (or Cha	nge of Correspondence					
Address form PTO/SE	3/122) attached.	inge of Correspondence					
"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
			THE PATENT (print or typ				
PLEASE NOTE: Unl	ess an assignee is ident	ified below, no assignee	data will appear on the p	atent. If an assign	ee is id	lentified below, the do	ocument has been filed for
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Please check the appropr	iate assignce category or	categories (will not be pr	rinted on the patent):	Individual 🗆 Co	rporati	on or other private gro	oup entity Government
4a. The following fee(s):	are submitted:	41	b. Payment of Fee(s): (Plea	ase first reapply ar	ıv prev	iously paid issue fee:	shown above)
Issue Fee			A check is enclosed.		•	• •	
Publication Fee (No small entity discount permitted)			Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge the required fec(s), any deficiency, or credit any				
Advance Order -	of Copics		The Director is hereby overpayment, to Depo	y authorized to char osit Account Number	ge the i	required fec(s), any de (enclose a	ficiency, or credit any n extra copy of this form).
5. Change in Entity Sta	tus (from status indicate	d above)					
a. Applicant claim	s SMALL ENTITY state	us. See 37 CFR 1.27.	b. Applicant is no lon	ger claiming SMA	LL EN	ΓΙΤΥ status. See 37 Cl	FR 1.27(g)(2).
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if reqrecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than to Office.	the applicant; a regi	stered a	attorney or agent; or th	ne assignce or other party in
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Typed or printed name  This collection of information is required by 37 CFR 1.311. The information				_			
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NOVARTIS		ANDERSON,	ANDERSON, REBECCA L			
	ELLECTUAL PROPERTY	ART UNIT	PAPER NUMBER			
ONE HEALTH PL EAST HANOVER		1626 DATE MAILED: 11/24/2006				

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 104 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 104 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.